Liquid Capital Statement

for the month of 28-FEB-22

of M/s. AMPLE Securities (Pvt.) Ltd.

Submission Date 03-MAR-2022 12:55:44

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S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted Value
		Pak Rupee	Adjustments	value
1	Assets			
1.1	Property & Equipment	363,231	363,231	
1.2	Intangible Assets	1,690,000	1,690,000	
	Investment in Govt. Securities	11,395,920	11,395,920	
1.4	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
1.5	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities I. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	22 022 005	6 006 024	26 725 074
	respective securities whichever is higher.	32,822,895	6,096,924	26,725,971
	ii. If unlisted, 100% of carrying value.			
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker. iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged			
	in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.8	ii. If unlisted, 100% of net value. Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	4,795,000	4,795,000	
	or any other entity.	.,. 55,555	.,. 00,000	
	Margin deposits with exchange and clearing house.	1,888,066	0	1,888,066
1.10	Deposit with authorized intermediary against borrowed securities under SLB. Other deposits and prepayments			
	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities			
	etc.(Nil)			
1.10	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables. Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
	shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months PLUS	0	0	
	ii. Advance tax to the extent it is netted with provision of taxation.			
	iii. Receivables other than trade receivables	14,385,334	14,385,334	
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut. i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract, iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	6,468,675	0	6,468,675
	iv. Balance sheet value	00.004	10.00=	10.00
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based	38,031	19,985	19,985
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
1.10	vi. 100% haircut in the case of amount receivable form related parties.	-		
1.18	Cash and Bank balances	20 04 4 577	•	20 044 57
	i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts	28,914,577 3,325,178		
	Baring Bararioo Constitution decounts	5,525,176	0	0,020,170

Liquid Capital Statement

for the month of 28-FEB-22

of M/s. AMPLE Securities (Pvt.) Ltd.

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1.19 Total Assets 106,098,867 38,746,394 78,750,33 2	S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
Disable Trade Payables Trade Payables			Pak Rupee	Adjustments	Value
119 Total Assets 2 Lisabilities 2 Lisabilities 3 A 3,746,944 78,756,02 2 Lisabilities 2 Lisabilities 3 A 3,746,944 78,756,02 2 Lisabilities 2 Lisabilities 3 A 3,746,944 98,746,		iii. Cash in hand	11,960	0	11,960
2 Babilities	1.19			38,746,394	78,750,332
Engage against leverage americ product					
Payable to exchanges and clearing house	2.1	Trade Payables			
ii. Finates to conforms 2. Outnote (Labellities) Statutory and registroy dudy Statutory and registroy dudy Statutory and registroy dudy 1. Statutory and registroy 1. Statutory a		i. Payable to exchanges and clearing house			
Current Liabilities			0.005.450		
Security of the equalitatory dues 336,821 0 336,82	2.2		3,325,178	0	3,325,178
Executes and other paraphetes \$30,821 O \$320,85	2.2				
Current portion of subdictinated loans		ii. Accruals and other payables	326,821	0	326,821
V. Curent portion of long term liabilities vi. Devolution for both delets vi. Devolution for both delets vi. Other liabilities as per accounting principles and included in the financial statements vi. Other liabilities as per accounting principles and included in the financial statements vi. Other liabilities as per accounting principles and included in the financial statements vi. Other liabilities are per accounting principles and included in the financial statements vi. Ling. Jerm financing a. Ling. Jerm financing vi. Ling. Jerm financing vi. Devolution of the per minancing vi. Ling. Jerm financing vi. V			15,364,323	0	15,364,323
di Defend Liabilities vii Providion for basid debts vii Providion for basid for vii Providion for financial institutor londoring amenin due against finance lesse b. Other long-term financing vii Staff reterment benefits vii Anderson against shares for incoseo in Capital of Securities broker 100% halicut may be allowed in some sessing authorised sease capital allows the proposed enhanced share capital b. Boad of Directos of the company has approved the incoses in capital c. Relevant Regulatory approved have been obtained d. There is no memorphised debty in issue of Patras against advance and all regulatory requirements eliating to the increase in paid up capital have been completed d. There is no memorphised debty in issue of Patras against advance and all regulatory requirements eliating to the increase in paid up capital have been completed d. W. Other labilities as per accounting grinciples and included in the financial statements 2.4 Subordinated Loans 1. 100% of subordinated loans which rutiff the conditions specified by SIC P are allowed to be deducted: The Schedule III provides that 100% halicut will be allowed against subordinated Loans which rutiff the conditions specified by SIC P. In this regard, flooring conditions are specified. 4 In 2 monits of spooling period a file 12 monits of spooling period b. No hartcut will be allowed against short term portion which is expanyble within next 12 monits. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital tartement must be submitted to exchange. 3 Securities of loans which do not rutiff the conditions specified by SIC P 3 Concentration in Margin (Financing) The amount calculaties Chen I-to - client b					
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a financial institution including amount due against finance lease b. Other long-term financing l. Staff retirement benefits lii. Advance against shares for increase in Capital of Securities broker: 100% halicut may be allowed in a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Deectors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue approved the increase in capital d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. c. Auditor is satisfied that such advance is against the increase in capital d. Auditor is satisfied that such advance is against the increase of capital. c. Auditor is satisfied that such advance is against the increase in capital d. Subordinated Loans loss of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted. In Soft Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted. In Soft Subordinated loans which fulfill the conditions are specified at a Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 17 months of reporting period b. No haizcut will be allowed against short term portion which is repayable within next 12 months. c in case of early reproperted for an adjustment shall be made to the Liquid Capital and evoked Liquid ii. Subordinated loans which do not fulfill the conditions specified by SECP 2.5 Total Liabilities 1.6 Concentration in Margin Financing The amount adjustment of the aggregate of amounts receivable from any of the financese sexeed 10% of the aggregate of amounts receivable from large of the subscription financese sexeed 10% of the aggregate of amounts receivable from lotal finances. 3.3 Net underwriting Commitments (a) the case of right issue if the market value of securities is less than or					
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respect of advance against shares if: a. The existing authorised share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvish nave been obtained d. There is no unessonable delay in issue of shares against advance and all regulatory requirements d. There is no unessonable delay in issue of shares against advance and all regulatory requirements d. Auditor is satisfied that such advances is against the increase of capital v. Other liabilities as per accounting principles and included in the financial sharements v. Other liabilities as per accounting principles and included in the financial sharements v. Other liabilities are per accounting principles and included in the financial sharements v. Other liabilities are per accounting principles and included in the financial sharements v. Other liabilities are per accounting principles and included in the financial sharements v. Other liabilities are per accounting principles and included in the financial sharements v. Other liabilities are per accounting principles and included in the financial sharements v. Other liabilities are per accounting principles and included in the financial sharements v. Other liabilities are per accounted to the capital of the conditions specified by SECP in this regard, following conditions are specified. v. Other liabilities of the conditions are specified. v. Other liabilities are accounted to a starmp page and must clearly reflect the amount to be repaid after 12 months. v. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid					
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b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. il. Subordinated loans which do not fulfill the conditions specified by SECP 2.5 Total Liabilities Ranking Liabilities Relating to: 3.1 Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total finances. 3.2 Concentration in securities lending and borrowing The amount by which the aggregate of amounts receivable from total finances. 3.2 Concentration in securities lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) the market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 3.3 Net underwriting Commitments (a) In the case of right issuse: If the market value of securities is less than or equal to the subscription price: the aggregate of: (i) the 50% of Halicut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceed the market price of the securities. In the case of right issuse where the market price of securities is greater than the subscription price, 5% of the Halicut multiplied by the net underwriting commitments. 3.4 Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) 5.5 of the net position in foreign currency positions 5.5 of the net position in foreign currency positions 5.5 of the net position in foreign currency positions 5.5 of the net position in foreign currency		a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
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		assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
3.1		Amount Payable under REPO			
	3.1				

Liquid Capital Statement

for the month of 28-FEB-22

of M/s. AMPLE Securities (Pvt.) Ltd.

Submission Date 03-MAR-2022 12:55:44

Page 3 Of 3

S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	Repo adjustment			
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securites.			
	In the case of financeeseller the market value of underlying securities after applying haircut less the			
	total amount received ,less value of any securites deposited as collateral by the purchaser after			
2.0	applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions			
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of			
	the value of such security .If the market of a security exceeds 51% of the proprietary position, then 10% of			
	the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount	2,313,253	2,313,253	2,313,253
	of cash deposited by the customer and the value of securites held as collateral/pledged with securities			
	exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the	674,247	0	
	extent not already met			
3.10	Short selll positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of			
	customers after increasing the same with the VaR based haircuts less the cash deposited by the			
	customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet			
	settled increased by the amount of VAR based haircut less the value of securities pledged as collateral			
0.11	after applying haircuts.	0.007.500	0.040.050	0.040.050
3.11	Total Ranking Liabilites	2,987,500	2,313,253	
3.12	Liquid Capital	84,095,045	36,433,141	57,420,757